



PTO/SB/21 (08-03)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/736,935

Filing Date

12/16/03

First Named Inventor

Bock

Art Unit

Examiner Name

Not yet assigned

Attorney Docket Number

4-43-7

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

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Affidavits/declaration(s)

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Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Response to Missing Parts/  
Incomplete Application☐Response to Missing Parts  
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

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Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

☐After Allowance communication  
to Group☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please  
Identify below):1. Return receipt  
postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

Wendy W. Koba

Signature

Wendy W. Koba

Date

3/15/04

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Wendy W. Koba

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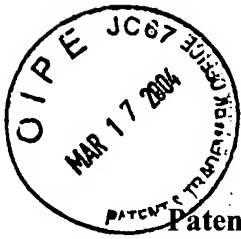
Wendy W. Koba

Date

3/15/04

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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Patent Application

Inventors: Timothy Paul Bock  
John W. Osenbach  
Rory Keene Schlenker

Case: 4-43-7

Serial No. 10/736,935

Filing Date: December 16, 2003

Examiner: Not yet assigned

Title: Active Optical Alignment Using MEMS Mirrors

COMMISSIONER OF PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement is submitted for consideration in the above-identified application.

NO FEE IS REQUIRED.

In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Agere Systems Deposit Account No. 501735** as required to correct the error.

Respectfully,

By: Wendy W. Koba  
Wendy W. Koba, Esq.

Reg. No. 30509

Attorney for Application

Date: 3/15/04

Att: - Information Disclosure Statement

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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## Complete if Known

Application Number

10/736,935

Filing Date
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121603

First Named Inventor

Bock

Art Unit .

100

Examiner Name
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Attorney Docket Number

4-43-7

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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